

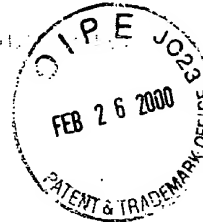


UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

SC#

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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DATE MAILED:

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this **NOTICE** to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 2900

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 76000 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.24(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER: Final Search Report on Amendment

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

Docketed By Practice Systems  
Action Code: MISSING PARTS  
Filing Date: 3/11/00  
Deadline: 7/11/00  
Initials: JJ  
Record: 33097

Patent Filing Secretary  
Date: 3-11-00  
Signature: [Signature]  
Initials: [Initials]

BEST COPY AVAILABLE

3/03/2000 09442871 00000088 061050 09442871  
65.00 DP  
345.00 DP  
35.00 CH  
1 FC:205  
2 FC:201

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Nicholas A. Doudoumopoulos Art Unit: 2835  
Serial No.: 09/442,871 Examiner: Unknown  
Filed : November 18, 1999  
Title : CLEAR PLASTIC PACKAGING IN A CMOS ACTIVE PIXEL  
IMAGE SENSOR

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231



RECEIVED  
MAR - 3 2000  
OIPE/JCWA

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION AND  
REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Sir:

Responsive to the Notice to File Missing Parts of  
Application under 37 CFR §1.53(b) mailed January 11, 2000 (a  
copy of which is enclosed), applicant as a small entity submits  
herewith the following:

- ☒ Payment of the basic filing fee of \$345;
- ☐ Payment of the additional/multiple dependent claims  
fees of \$0;
- ☒ Payment of the surcharge of \$65 for late filing of the  
basic filing fee and/or declaration.
- ☒ A check in the total amount of \$410 is attached.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as first  
class mail with sufficient postage on the date indicated  
below and is addressed to the Assistant Commissioner for  
Patents, Washington, D.C. 20231.

February 22, 2000  
Date of Deposit

Signature

Karin P. Harden  
Typed or Printed Name of Person Signing Certificate

- ☒ A Verified Statement Claiming Small Entity Status;
- ☒ A Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;
- ☐ A verified English translation of the application and payment of the \$0 fee required under 37 CFR §1.17(k); and
- ☐ Other:

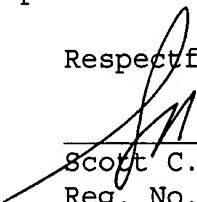
Also, please correct the filing receipt for the above-referenced application to include the residence address for the inventor, i.e., Nicholas A. Doudoumopoulos, **Marina Del Ray, California.**

Please supply a corrected filing receipt to the undersigned with respect to this application. A copy of the original filing receipt is attached for your convenience.

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Date: 2/28/00

Respectfully submitted,

  
\_\_\_\_\_  
Scott C. Harris  
Reg. No. 32,030

SCH/keh  
Fish & Richardson P.C.  
4225 Executive Square, Suite 1400  
La Jolla, CA 92037  
Telephone: (858) 678-5070  
Facsimile: (858) 678-5099  
10020515.doc

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/442,871	11/18/99	2835	\$0.00	08305/054001	3	4	2

SCOTT C HARRIS  
FISH & RICHARDSON P C  
4225 EXECUTIVE SQUARE  
SUITE 1400  
LA JOLLA CA 92037



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) NICHOLAS A. DOUDOUMOPOULOS.

CONTINUING DATA AS CLAIMED BY APPLICANT-  
PROVISIONAL APPLICATION NO. 60/111,597 11/18/98

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 12/16/99  
TITLE  
CLEAR PLASTIC PACKAGING IN A CMOS ACTIVE PIXEL IMAGE SENSOR  
PRELIMINARY CLASS: 361

RECEIVED

JAN 18 2000

FISH & RICHARDSON

* Required by Law *
Reviewed by Business Systems
Initialed by _____
Reviewed by Patent Secretary
Initialed by _____

DATA ENTRY BY: BATIE, MARGARET TEAM: 01 DATE: 12/16/99

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(See reverse for new important information)